



# FUNDING OPPORTUNITIES

CTAI CONFERENCE  
SEPTEMBER 14, 2010



Presented by Kevin Bittner



# OBJECTIVES

1. LOCAL MOBILITY MANAGEMENT NETWORK PLANS
2. FEDERAL ASSISTANCE
3. INTRODUCTION TO PROGRAMS
4. FUNDING CATALOG
5. PROGRAM FUNDING UTILIZATION
6. LOCAL MATCH REQUIREMENTS
7. SUBRECIPIENT OPPORTUNITIES
8. CONTRACTING OPPORTUNITIES
9. DISADVANTAGE BUSINESS ENTERPRISE
10. PROCUREMENT THRESHOLDS
11. FTA CERTIFICATION AND ASSURANCES
12. GRANT APPLICATION SCHEDULE
13. HOW TO APPLY

# LOCAL MOBILITY MANAGEMENT NETWORK (LMMN) PLANS

## ⦿ What are they?

- Identify local mobility needs
- Identify strategies to meet those needs

## ⦿ What is the benefit to my community?

- Allows an eligible agency or provider to apply for federal assistance

## ⦿ Where can I get information?

- <http://i-way.org/SH/Mobility%20Business/documentlibrary>

## ⦿ How Can I get involved?

- <http://i-way.org/SH/Community/Networks>
- <http://i-way.org/register>

# FEDERAL ASSISTANCE

## ◎ Transportation Bill:

- Federal Transit Administration (FTA)
- Federal Highways Administration (FHWA)

## ◎ Current Transportation Bill

- SAFETEA-LU: Safe, Accountable, Flexible, Economical, Transportation, Equity Act – Legacy for Users
- Six-year Transportation Bill 2004 – 2009
  - Under Continuing Resolution
- Annual Appropriation Bill published in Federal Register
  - List final appropriation for each Federal Assistance Program

# 5303: Metropolitan Planning Program

[http://www.fta.dot.gov/laws/circulars/leg\\_reg\\_8454.html](http://www.fta.dot.gov/laws/circulars/leg_reg_8454.html)

## ◎ Program Goal:

- Plan for the development, improvement, and effective management of a multimodal transportation system

## ◎ Program Activities:

- Prepare management, planning, operations, capital and economic feasibility studies
- Evaluate previously funded projects
- Review and exchange technical data, information, assistance that support planning & environmental analysis with peers
- Develop 20-year transportation plans and short-range plans

## ◎ Eligible Recipient:

- State, but State is required by law to distribute funds to each MPO in its urbanized area

# 5304: STATEWIDE PLANNING

[http://www.fta.dot.gov/documents/FTA\\_C\\_8100.1C.pdf](http://www.fta.dot.gov/documents/FTA_C_8100.1C.pdf)

## ◎ Program Goal:

- Plan for the development, improvement, and effective management of a statewide transportation system

## ◎ Program Activities:

- Prepare management, planning, operations, capital and economic feasibility studies
- Evaluate previously funded projects
- Review and exchange technical data, information, assistance that support planning & environmental analysis with peers
- Develop long and short-range plans
- Use statewide planning funds to support or supplement the technical assistance program it provides through RTAP

## ◎ Eligible Recipient:

- State

# 5307: Large Urbanized Area

[http://www.fta.dot.gov/laws/circulars/leg\\_reg\\_11492.html](http://www.fta.dot.gov/laws/circulars/leg_reg_11492.html)

## ⦿ Program Goal:

- To increase public transportation ridership

## ⦿ Program Activities:

- Capital projects to finance the planning, acquisition, construction, cost-effective lease, improvement, and maintenance of equipment and facilities for use in transit
- 1% of the funds must be expended for transit enhancements

## ⦿ Eligible Recipient:

- Urbanized areas with populations over 200,000:
- Governor, local officials, publicly owned transportation operators must jointly select the designated recipients. Recipient must be a governmental authority and have legal authority to receive and dispense Federal funds

# 5307: Small Urbanized Area:

[http://www.fta.dot.gov/laws/circulars/leg\\_reg\\_11492.html](http://www.fta.dot.gov/laws/circulars/leg_reg_11492.html)

## ⦿ Program Goal:

- To increase public transportation ridership

## ⦿ Program Activities:

- Operating assistance
- Capital projects to finance the planning, acquisition, construction, cost-effective lease, improvement, and maintenance of equipment and facilities for use in transit

## ⦿ Eligible Recipient:

- Urbanized area with a population of 50,000 to 199,999
- Governor designates a recipient
- Designated Recipient can pass funds to a designated grantee
  - Designated grantee must be a governmental authority and have legal authority to receive and dispense Federal funds

# 5310: Elderly Individuals and Individuals with Disabilities

<http://www.fta.dot.gov/documents/C9070.1F.pdf>

## ◎ Program Goal:

- Improve mobility needs for elderly individuals and individuals with disabilities in all areas—urbanized, small urban, and rural
- The program requires coordination with other Federally-assisted programs and services

## ◎ Program Activities:

- Capital assistance and contracted services

## ◎ Eligible Recipient:

- State:
  - Subrecipients: Non-profits and governmental agencies if there are no non-profits in area
- Projects must have a Locally Developed, Coordinated Public Transit-Human Services Transportation Plan.

# 5311: Nonurbanized Area

[http://www.fta.dot.gov/documents/FTA\\_C\\_9040.1F.pdf](http://www.fta.dot.gov/documents/FTA_C_9040.1F.pdf)

## ⦿ Program Goal:

- Enhance access to health care, shopping, education, employment, public services and recreation in rural areas

## ⦿ Program Activities:

- Capital projects
- Operating assistance,
- Acquisition of public transportation services, including service with private providers
- 15% can be used to provide statewide administration, planning and technical assistance

## ⦿ Eligible Recipient:

- State
  - Subrecipient: local, government or tribal agencies and non-profits

# 5311(f): Intercity

[http://www.fta.dot.gov/documents/FTA\\_C\\_9040.1F.pdf](http://www.fta.dot.gov/documents/FTA_C_9040.1F.pdf)

## ⦿ Program Goal:

- Provide connections between rural areas and larger regional intercity bus service

## ⦿ Program Activities:

- Capital projects
- Operating assistance,
- Intercity program is mandatory unless the Governor certifies, after consultation with affected intercity bus providers, that the intercity bus needs of the State are being met adequately.

## ⦿ Eligible Recipient:

- State
  - Subrecipient: local, governments or tribal agencies, non-profits and for profit public transportation company

# 5311(b)(3): Rural Transportation Assistance Program (RTAP)

[http://www.fta.dot.gov/documents/FTA\\_C\\_9040.1F.pdf](http://www.fta.dot.gov/documents/FTA_C_9040.1F.pdf)

## ⦿ Program Goal:

- To provide assistance and training to federal program requirements and to meet rural mobility needs

## ⦿ Program Activities:

- Technical assistance,
- Training,
- Research,
- and support services relating to rural transportation
- A state may use RTAP funds for special projects that support its statewide planning program

## ⦿ Eligible Recipient:

- State

# 5316: Job Access and Reverse Commute (JARC)

[http://www.fta.dot.gov/documents/FTA\\_C\\_9050.1\\_JARC.pdf](http://www.fta.dot.gov/documents/FTA_C_9050.1_JARC.pdf)

## ⦿ Program Goal:

- To improve access to transportation services to employment and employment related activities for low-income individuals
- And to transport people of urbanized areas and non-urbanized areas to suburban employment opportunities (reverse commute) regardless of income.

## ⦿ Program Activities:

- Capital projects
- Operating assistance

## ⦿ Eligible Recipient:

- State or Designated Recipient:
  - Subrecipients: Non-profits and governmental and tribal agencies
- Projects must have a Locally Developed, Coordinated Public Transit-Human Services Transportation Plan

# 5317: New Freedom

[http://www.fta.dot.gov/documents/FTA\\_C\\_9045.1\\_New\\_Freedom.pdf](http://www.fta.dot.gov/documents/FTA_C_9045.1_New_Freedom.pdf)

## ◎ Program Goal:

- Aims to increase employment among Americans with disabilities by reducing barriers to transportation services and expanding the transportation mobility options beyond ADA requirements

## ◎ Program Activities:

- Capital projects
- Operating assistance

## ◎ Eligible Recipient:

- State or Designated Recipient:
  - Subrecipients: Non-profits and governmental and tribal agencies
- Projects must have a Locally Developed, Coordinated Public Transit-Human Services Transportation Plan
- Has to be new service after 2005

# FHWA Rideshare

## ⦿ Program Goal:

- To curb consumption of gasoline, reduce traffic, and improve the air quality in areas of operations

## ⦿ Program Activities:

- Car and vanpool coordinator
- Education and outreach

## ⦿ Eligible Recipient:

- State
  - Subrecipients: governmental and tribal agencies

# DISCRETIONARY PROGRAMS

## ⦿ Program Goal:

- The Capital Investment Program provides funds to invest in capital equipment and facilities to allow for efficient and improved public transportation services.

## ⦿ Program Activities:

- Buses and other rolling stock, ferry boats, ancillary equipment,
- Construction of bus facilities (i.e., maintenance facilities, garages, storage areas, waiting facilities and terminals, transit malls and centers, and transfer facilities and intermodal facilities)
- also includes bus rehabilitation and leasing, park-and ride facilities, parking lots associated with transit facilities, bus passenger shelters, invest in capital equipment and facilities to allow for efficient and improved public transportation services, bicycle facilities and preventive maintenance

## ⦿ Eligible Recipient:

- States and local governments, as well as
  - Subrecipients, such as public agencies, private companies engaged in public transportation and private non-profit organizations

# FUNDING CATALOG

## ⦿ Transit, Bicycle, Pedestrian, Services, and Infrastructure

- Designed through Statewide Mobility Plan process
- Bridges the gap between federal programs and state administration of those programs
- Summarizes eligible activities, applicants and selection criteria

## ⦿ Draft available for review and comment

- Hard copies are available or contact [maureen.gresham@itd.idaho.gov](mailto:maureen.gresham@itd.idaho.gov)
- Asking for input by September 24<sup>th</sup> on the following:
- Comments/suggestions on the overall organization
- Verification of the information included for each program
- Identification of missing opportunities
- Comments/suggestions on how to “get the word out” on this document.

## ⦿ The final version will be available October 1, 2010

- Via download from the Bicycle and Pedestrian website: ([http://www.itd.idaho.gov/bike\\_ped/](http://www.itd.idaho.gov/bike_ped/))
- and/or request via email to [maureen.gresham@itd.idaho.gov](mailto:maureen.gresham@itd.idaho.gov)

# PROGRAM FUNDING UTILIZATION

Designation	5303 MPO Planning	5304 Statewide Planning	5307 Large Urban	5307 Small Urban	5310 Senior Disabled	5311 Rural & 5311(f)	5311 (b)(3) RTAP	5316 JARC	5317 New Freedom	FHWA Rideshare	Discretionary Opportunities	Total
Total	\$375	\$102	\$2,718	\$4,694	\$635	\$5,835	\$101	\$845	\$415	\$355	Vary	\$16,075
Boise UZA	\$170		\$2,718					\$131	\$80			\$3,099
Coeur d'Alene UZA	\$46			\$972								\$1,018
Idaho Falls UZA	\$42			\$953								\$995
Lewiston UZA	\$20			\$413								\$433
Nampa UZA	\$59			\$1,341								\$1,400
Pocatello UZA	\$38			\$1,015								\$1,053
Urban Idaho								\$357	\$181	\$80		\$618
Rural Idaho						\$4,083	\$101	\$285	\$119			\$4,588
Intercity						\$876						\$876
Statewide					\$571							\$571
State Admin.		\$102			\$64	\$876		\$72	\$35			\$1,149
Ada County										\$220		\$220
Canyon County										\$55		\$55

# LOCAL MATCH REQUIREMENTS

Eligible Activities	5303 MPO Planning	5304 Statewide Planning	5307 Large Urban	5307 Small Urban	5310 Senior Disabled	5311 Rural & 5311(f)	5311 (b)(3) RTAP	5316 JARC	5317 New Freedom	FHWA Rideshare	Discretionary Opportunities
Administration	N/A	N/A	20%	20%	N/A	20%	N/A	N/A	N/A	0%	N/A
Operations	N/A	N/A	N/A	50%	N/A	42.5%	N/A	50%	50%	0%	N/A
Purchase of Service	N/A	N/A	N/A	N/A	08%	N/A	N/A	N/A	N/A	N/A	N/A
Preventive Maintenance	N/A	N/A	20%	20%	08%	08%	N/A	20%	20%	N/A	20%
Capital Vehicle	N/A	N/A	20%	20%	08%	08%	N/A	20%	20%	0%	20%
Capital Equipment	N/A	N/A	20%	20%	08%	08%	N/A	20%	20%	N/A	20%
Capital Bicycle	N/A	N/A	10%	10%	N/A	08%	N/A	10%	10%	N/A	10%
Capital Environmental & Design	N/A	N/A	20%	20%	N/A	08%	N/A	20%	20%	N/A	20%
Capital final design and Construction	N/A	N/A	20%	20%	N/A	08%	N/A	20%	20%	N/A	20%
Training & Technical Assistance	N/A	20%	N/A	N/A	N/A	N/A	0%	N/A	N/A	N/A	N/A
Mobility Management	N/A	N/A	20%	20%	08%	08%	NA	08%	08%	N/A	20%
Planning	20%	20%	20%	20%	N/A	N/A	N/A	N/A	N/A	N/A	N/A
State Administration	N/A	N/A	N/A	N/A	0%	0%	N/A	0%	0%	N/A	N/A

# SUBRECIPIENT OPPORTUNITIES

Designated Program Areas	5303 MPO Planning	5304 Statewide Planning	5307 Large Urban	5307 Small Urban	5310 Senior Disabled	5311 Rural & 5311(f)	5311(b) (3) RTAP	5316 JARC	5317 New Freedom	FHWA Rideshare	Discretionary Opportunities
Boise UZA	N/A	N/A	N/A	N/A	Y	N/A	N/A	Y	Y	N/A	N/A
Coeur d'Alene UZA	N/A	N/A	N/A	N/A	Y	N/A	N/A	Y	Y	N/A	N/A
Idaho Falls UZA	N/A	N/A	N/A	N/A	Y	N/A	N/A	Y	Y	N/A	N/A
Lewiston UZA	N/A	N/A	N/A	N/A	Y	N/A	N/A	Y	Y	N/A	N/A
Nampa UZA	N/A	N/A	N/A	N/A	Y	N/A	N/A	Y	Y	N/A	N/A
Pocatello UZA	N/A	N/A	N/A	N/A	Y	N/A	N/A	Y	Y	N/A	N/A
Rural Idaho	N/A	N/A	N/A	N/A	Y	Y	Y	Y	Y	N/A	Y
Statewide	N/A	N/A	N/A	N/A	Y	N/A	N/A	Y	Y	N/A	Y

# CONTRACTING OPPORTUNITIES

Designated Program Areas	5303 MPO Planning	5304 Statewide Planning	5307 Large Urban	5307 Small Urban	5310 Senior Disabled	5311 Rural & 5311(f)	5311 (b)(3) RTAP	5316 JARC	5317 New Freedom	FHWA Rideshare	Discretionary Opportunities
Boise UZA	Y	N/A	Y	N/A	Y	N/A	N/A	Y	Y	Y	Y
Coeur d'Alene UZA	Y	N/A	N/A	Y	Y	N/A	N/A	Y	Y	Y	Y
Idaho Falls UZA	Y	N/A	N/A	Y	Y	N/A	N/A	Y	Y	Y	Y
Lewiston UZA	Y	N/A	N/A	Y	Y	N/A	N/A	Y	Y	Y	Y
Nampa UZA	Y	N/A	N/A	Y	Y	N/A	N/A	Y	Y	Y	Y
Pocatello UZA	Y	N/A	N/A	Y	Y	N/A	N/A	Y	Y	Y	Y
Rural Idaho	N/A	N/A	N/A	N/A	Y	Y	Y	Y	Y	Y	Y
Statewide	N/A	N/A	N/A	N/A	Y	N/A	N/A	Y	Y	Y	Y

# Disadvantage Business Enterprise

- ◎ For each contracting possibility
  - Consult the DBE Directory  
<http://www.itd.idaho.gov/civil/dbefirms.htm>
  - DBEs that could provide materials or services must be placed in the procurement solicitation

# PROCUREMENT THRESHOLDS

- ◎ Micro Purchase: Federal requirements:
  - Less than \$3,000.00
    - Base procurement on knowledge of industry
  - Davis-Bacon prevailing wage requirements
    - Construction contracts exceeding \$2,000.00
- ◎ Small/Informal Purchase: State requirements
  - Between \$3,000 and \$75,000 must acquire two written quotes.
- ◎ Large/Formal Purchase: State requirements
  - Over \$75,000, must follow formal procurement requirements.

# FTA CERTIFICATIONS & ASSURANCES

	5303 MPO Planning	5304 Statewide Planning	5307 Large Urban	5307 Small Urban	5310 Senior Disabled	5311 Rural & 5311(f)	5311 (b) (3) RTAP	5316 JARC	5317 New Freedom	FHWA Ride-share	Discretionary Opportunities
01. Assurances Required for Each Applicant	Y	Y	Y	Y	Y	Y	Y	Y	Y	N/A	Y
02. Lobbying Certification	Y	Y	Y	Y	Y	Y	Y	Y	Y	N/A	Y
03. Procurement Compliance	Y	Y	Y	Y	Y	Y	Y	Y	Y	N/A	Y
04. Protections for Private Transportation Providers	N/A	N/A	Y	Y	N/A	Y	N/A	Y	Y	N/A	Y
05. Public Hearing	Y	Y	Y	Y	Y	Y	Y	Y	Y	N/A	Y
06. Acquisition of Rolling Stock for use in Revenue Service	N/A	N/A	Y	Y	N/A	Y	N/A	Y	Y	N/A	Y
07. Acquisition of Capital Assets by Lease	N/A	N/A	Y	Y	Y	Y	N/A	Y	Y	N/A	Y
08. Bus Testing	N/A	N/A	Y	Y	Y	Y	N/A	Y	Y	N/A	Y
09. Charter Service Agreement	N/A	N/A	Y	Y	Y	Y	N/A	Y	Y	N/A	Y
10. School Transportation Agreement	N/A	N/A	Y	Y	Y	Y	N/A	Y	Y	N/A	y

# FTA PROGRAM REQUIREMENTS

	5303 MPO Planning	5304 Statewide Planning	5307 Large Urban	5307 Small Urban	5310 Senior Disabled	5311 Rural & 5311(f)	5311 (b) (3) RTAP	5316 JARC	5317 New Freedom	FHWA Ride-share	Discretionary Opportunities
11. Demand Response Service	N/A	N/A	Y	Y	N/A	Y	N/A	Y	Y	N/A	Y
12. Alcohol Misuse and Prohibited Drug Use	N/A	N/A	Y	Y	N/A	Y	N/A	Y	Y	N/A	Y
13. Interest and Other Financing Costs	N/A	N/A	Y	Y	Y	Y	Y	Y	Y	N/A	Y
14. Intelligent Transportation Systems	N/A	N/A	Y	Y	Y	Y	N/A	Y	Y	N/A	Y
15. Urbanized Area Formula Program	N/A	N/A	Y	Y	N/A	N/A	N/A	N/A	N/A	N/A	N/A
16. Clean Fuels Grant Program	N/A	N/A	Y	Y	N/A	N/A	N/A	N/A	N/A	N/A	Y
17. Elderly Individuals and Individuals with Disabilities Formula Grant Program and Pilot Program	Y	Y	Y	Y	Y	N/A	N/A	N/A	N/A	N/A	N/A
18. Nonurbanized Area Formula Program for States	N/A	Y	N/A	N/A	N/A	Y	N/A	N/A	N/A	N/A	N/A

# FTA PROGRAM REQUIREMENTS

	5303 MPO Planning	5304 Statewide Planning	5307 Large Urban	5307 Small Urban	5310 Senior Disabled	5311 Rural & 5311(f)	5311 (b) (3) RTAP	5316 JARC	5317 New Freedom	FHWA Ride-share	Discretionary Opportunities
19. Job Access and Reverse Commute Formula Grant Program	Y	Y	Y	Y	N/A	N/A	N/A	Y	N/A	N/A	N/A
20. New Freedom	Y	Y	N/A	N/A	N/A	N/A	N/A	N/A	Y	N/A	N/A
21. Paul S. Sabranes Transit in Parks Program	Y	Y	Y	Y	N/A	N/A	N/A	N/A	N/A	N/A	N/A
22. Tribal Transit Program	N/A	N/A	Y	Y	Y	Y	N/A	Y	Y	N/A	Y
23. Transportation Infrastructure Finance and Innovation Act (TIFIA) Projects	N/A	N/A	Y	Y	N/A	N/A	N/A	N/A	N/A	N/A	Y
24. Deposits of Federal Financial Assistance to State Infrastructure Banks	N/A	N/A	Y	Y	N/A	N/A	N/A	N/A	N/A	N/A	Y

# GRANT APPLICATION SCHEDULE

## ◎ ITD'S SCHEDULE

- PROGRAMS: 5310, 5311, 5311(f), 5316, 5317
- CALENDAR:
  - JANUARY: RELEASE APPLICATION
  - FEBRUARY: SUBMIT PRE-APPLICATION
  - FEBRUARY: PUBLIC COMMENT PERIOD STARTS
  - FEBRUARY: DISTRICT COORDINATION MEETINGS
  - MARCH: APPLICATION DUE
  - APRIL: DISTRICT COORDINATION COUNCIL BALANCING MEETINGS
  - APRIL: PTAC BALANCING MEETING
  - MAY: ITD BOARD

## ◎ COMPASS' SCHEDULE

- PROGRAMS: 5316 and 5317 Large Urban

# How To Apply for Funding

- ◎ <http://i-way.org/home>
  - Event Calendar
- ◎ <http://i-way.org/SH/home>
  - Event Calendar

# RESOURCES

- I-WAY Website
  - <http://i-way.org/home>
- Idaho State Code
  - <http://www.legislature.idaho.gov/idstat/Title40/T40CH5.htm>
- FEDERAL TRANSIT ADMINISTRATION (FTA) PROGRAM GUIDANCE:
  - [http://www.fta.dot.gov/funding/grants\\_financing\\_circulars\\_guidance.html](http://www.fta.dot.gov/funding/grants_financing_circulars_guidance.html)
- FEDERAL CERTIFICATIONS AND ASSURANCES:
  - <http://ftateamweb.fta.dot.gov/static/CertsAndAssurance/2010-Certs-TEAM.pdf>
- ITD'S Grant Administration Team:
  - [GATeam@itd.idaho.gov](mailto:GATeam@itd.idaho.gov)
  - 1-800-527-7985
- State's procurement rules:
  - <http://adm.idaho.gov/adminrules/rules/idapa38/0501.pdf>
- FTA's third party procurement (C4220.1F) resource:
  - [http://www.fta.dot.gov/funding/grants\\_financing\\_6036.html](http://www.fta.dot.gov/funding/grants_financing_6036.html)